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PTO/SB/05 (11-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

16869P-034500US Attorney Docket No. First Inventor Hino, Naoki DATA STORAGE SYSTEM

COPY OF PAPERS

ORIGINALLY FILED (Only for new nonprovisional applications under 37 C.F.R § 1.53(b)) Express Mail Label No. EL525753274US APPLICATION ELEMENTS Assistant Commissioner for Patents ADDRESS TO Box Patent Application See MPEP chapter 600 concerning design patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) 1 🔯 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2 Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з 🖂 Specification [Total Pages | 20 a.

Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or Cross References to Related Applications ii. paper number of pages Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** Background of the Invention - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) 9. 🔲 - Brief Description of the Drawings (if filed) 10. 37 C.F.R.§3.73(b)Statement Power of - Detailed Description (when there is an assignee) - Claim(s) Attorney - Abstract of the Disclosure 11. English Translation Document (if applicable) 4. Drawing(s) (35 U.S.C.113) [Total Sheets 9 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 5. Oath or Declaration [Total Pages Citations 13. 🔲 **Preliminary Amendment** a. Newly executed (original or copy) 14. 🔯 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i ☐ DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. Nonpublication Request under 35 U.S.C. named in the prior application, see 37 CFR 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 1 63(d)(2) and 1 33(b). or its equivalent 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Divisional ☐ Continuation-in-part (CIP) of prior application No ____ Prior application information: Examiner Group Art Unit: ... For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS □ Customer Number or Bar Code Label 20350 or Correspondence address below (Insert Customer No. or Attach bar code label here Name Address City State Zip Code Country Telephone Fax Name (Print/Type) Joe Liebeschuetz Registration No. (Attorney/Agent) Signature Date October 19, 2001

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FEE TRANSMITTAL	Complete if Known					
	Application Number	0				
for FY 2001	Filing Date	.0				
	First Named Inventor Hino, Naoki	5				
Patent fees are subject to annual revision	Examiner Name	2				
	Group Art Unit	8				
TOTAL AMOUNT OF PAYMENT (\$) 740	Attorney Docket No 16869P-034500US					

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METHOD OF PAYMENT							FEE CALCULATION (continued) 3. ADDITIONAL FEES					
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to												
Depo Acco		20-	1430				Large Fee Code	Entity Fee (\$)	Small Fee Code	Fee (\$)	Fee Description	Fee Paid
Num	ber						105	130	205	65	Surcharge - late filing fee or oath	
Depo	osit						127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Account Townsend and Townsend and Crew LLP							139	130	139	130	Non-English specification	
Name								2,520	147	2,520	For filing a request for reexamination	
								920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant claims small entity status See 37 CFR 1 27								1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2.	Payme	nt Enclo	sed:				115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other Order						116	400	216	200	Extension for reply within second month		
			EEE O	ALCULATION			117	920	217	460	Extension for reply within third month	
1. E	BASIC F	LING FE		ALCOLATION			118	1,440	218	720	Extension for reply within fourth month	
Large	Entity	Small I	Entity				128	1 960	228	980	Extension for reply within fifth month	
Fee				Fee Description	n		119	320	219	160	Notice of Appeal	
Code	• • •		(\$)			ee Paid	120	320	220	160	Filing a brief in support of an appeal	
101				Utility filing fee	<u></u>	40	121	280	221	140	Request for oral hearing	
106 107				Design filing fee Plant filing fee	·		138	1,510	138	1,510	Petition to institute a public use proceeding	
108	740	208	370	Reissue filing fe	e		140	110	240	55	Petition to revive – unavoidable	
114	160	214	80	Provisional filing	fee		141	1,280	241	640	Petition to revive – unintentional	
							142	1,280	242	640	Utility issue fee (or reissue)	
SUBTOTAL (1) (\$)740							143	460	243	230	Design issue fee	
2. EXTRA CLAIM FEES							144	620	244	310	Plant issue fee	
				Extra Fe	e from	Fee	122	130	122	130	Petitions to the Commissioner	
Total Claim	ns 3	-20**	-	Claims be	low 618 = [Paid \$0	123	50	123	50	Petitions related to provisional applications	
Independen Claims	t 2	-3**	= [0 X	84 =	\$0	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		_		x	=		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large	Entity		Entity	1			146	740	246	370	Filing a submission after final rejection	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Descript			149	740	249	370	(37 CFR § 1.129(a)) For each additional invention to be	
103	18	203	9		Claims in excess of 20						examined (37 CFR § 1 129(b))	
102	84	202	42	Independent claims in excess of 3			179	740	279	370 I	Request for Continued Examination (RCE)	
104	280	204	140		Multiple dependent claim, if not paid						` '	
109	84	209	42	original pater	** Reissue independent claims over original patent			900	169	900	Request for expedited examination of a design application	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$)0						Other fee (specify) The Commissioner is authorized to charge any additional fees to the above noted Deposit Account						
												**or numi

SUBMITTED BY		Co	Complete (if applicable)			
Name (Print/Type)	Joe Liebeschuetz	Registration No. (Attorney/Agent)	37,505	Telephone	650-326-2400	
Signature	Thelust	\mathcal{S}		Date	October 19, 2001	

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